



Breaking HuFu with 0 Leakage

A Side-Channel Analysis

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Introduction

What is HuFu?

- Signature scheme based on unstructured lattices
- Based on the Hash-and-Sign paradigm [GPV08] (like Falcon)
- Round 1 candidate to NIST on-ramp post-quantum signature competition

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Why attack it?

- Absence of structure counters attacks on Falcon
- Trapdoor sampling a la [MP12] is used in other contexts (IBEs...)

Results

- We target sensible multiplications and the base discrete Gaussian sampler with power analysis and recover many coefficients of the signing key.
- The attacks are completed using lattice reduction whose cost we estimate depending on the amount of recovered coefficients

1. The HuFu Signature Scheme



Hash-and-sign for Lattices and HuFu

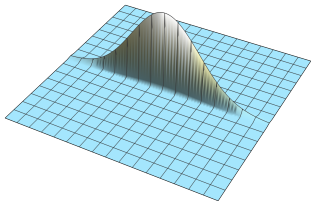
Generic framework for lattice-based signatures [GPV08] such as Falcon.
Instantiated as follows for HuFu:

- Verification key: a matrix $\mathbf{A} = (\mathbf{I}_m | \hat{\mathbf{A}} | \mathbf{B})$ with $\mathbf{B} = p\mathbf{I}_m - \hat{\mathbf{A}}\mathbf{S} - \mathbf{E} \bmod pq$,
- Signing key: $\mathbf{sk}^\top = q(\mathbf{I}_m | \mathbf{S} | \mathbf{E})$, a short basis of $\Lambda = \{\mathbf{Ax} = 0 \bmod pq, \mathbf{x} \in \mathbb{Z}^k\}$,
- Given a message μ , sign by giving a short preimage \mathbf{x} of $\mathbf{u} = H(\mu)$ by \mathbf{A} ,
- How is \mathbf{x} sampled?

First Try

Take $\mathbf{z} \leftarrow D_{\mathbb{Z}^k + \mathbf{v}/q, \bar{r}^2}$ and set

$$\mathbf{x} = \mathbf{s}\mathbf{k} \cdot \mathbf{z}.$$

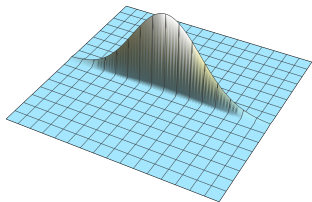


$$\mathbf{A} \cdot \mathbf{s}\mathbf{k} \cdot \mathbf{z} = p\mathbf{v} \bmod pq$$

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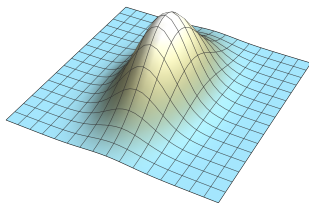
$$\mathbf{x} = \mathbf{s}k \cdot \mathbf{z}.$$



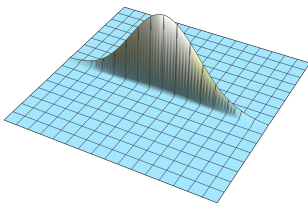
- Set $\mathbf{v} = \lfloor \mathbf{u}/p \rfloor$: approximate preimage
- Add $\mathbf{u} \bmod p$ to get an exact preimage
- The distribution leaks $\mathbf{s}k$!

$$\mathbf{A} \cdot \mathbf{s}k \cdot \mathbf{z} = p\mathbf{v} \bmod pq$$

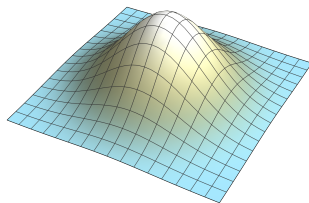
Adding a Perturbation



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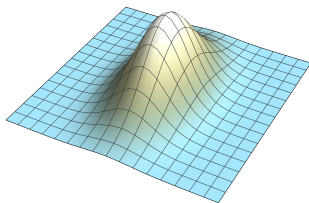


$\mathbf{p} \leftarrow D_{\mathbb{Z}^k, \Sigma_p}$
Sampled using
Cholesky
decomposition

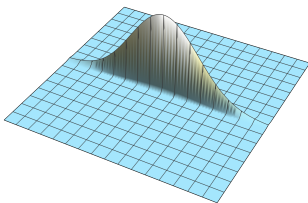
$\mathbf{sk} \cdot \mathbf{z}$
 $\mathbf{z} \leftarrow D_{\mathbb{Z}^k + \mathbf{c}, \tilde{r}^2}$
 $\mathbf{c} = \lfloor (\mathbf{u} - \mathbf{A}\mathbf{p})/p \rfloor / q$

\mathbf{x}
Short approximate
preimage of \mathbf{u}
Not leaky

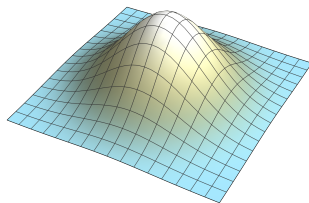
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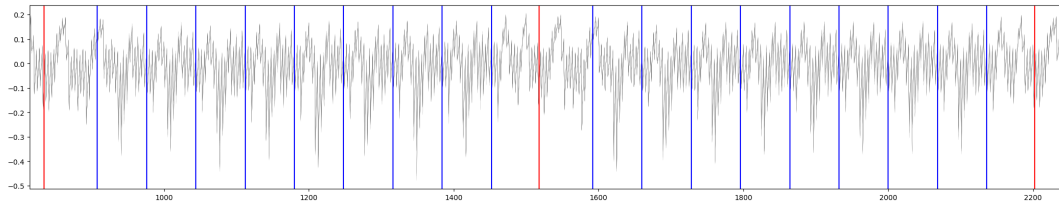
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2. Side-Channel Analysis



Experimental set-up

Acquisition device: **ChipWhisperer Lite** with a Cortex M4 target.
Targetted C code is taken from the NIST submission package.



Code & some power traces available on a GitHub repository (link in paper).

Feel free to reach out!

Overview of the leakage spots

Algorithm HuFu Sign

```
1:  $\mathbf{p} \leftarrow \text{SampleP}(\text{sk})$ 
2:  $(\mathbf{p}_0, \mathbf{p}_1, \mathbf{p}_2) \leftarrow \mathbf{p}$ 
3:  $\mathbf{v} \leftarrow \text{ComputeV}(\mathbf{A}, \mathbf{p}, \mu)$ 
4:  $\mathbf{z} \leftarrow q \cdot \text{SampleZ}_d(\mathbf{v}/q)$ 
5:  $\mathbf{x}_0 \leftarrow \mathbf{E}\mathbf{z} + \mathbf{p}_0$ 
6:  $\mathbf{x}_1 \leftarrow \mathbf{S}\mathbf{z} + \mathbf{p}_1$ 
7:  $\mathbf{x}_2 \leftarrow \mathbf{z} + \mathbf{p}_2$ 
8: if  $\|(\mathbf{x}_0 + \mathbf{e}, \mathbf{x}_1, \mathbf{x}_2)\| > B$  then
9:   goto 1
10: end if
11: return  $\sigma = (\mathbf{x}_1, \mathbf{x}_2)$ 
```

Gaussian sampler ○

matrix-vector multiplication □

matrix-vector multiplication □

Leakage in matrix-vector multiplication

Targeted operations: $S_{i,j} \cdot z_i$ (resp. $E_{i,j} \cdot z_i$)

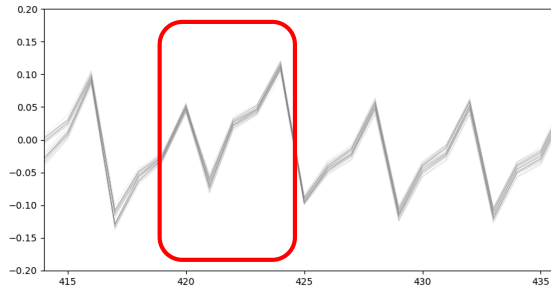
Coefficients of **S** (resp. **E**) are ternary and follow a binomial distribution.

→ only three possible outputs for $S_{i,j} \cdot z_i$:

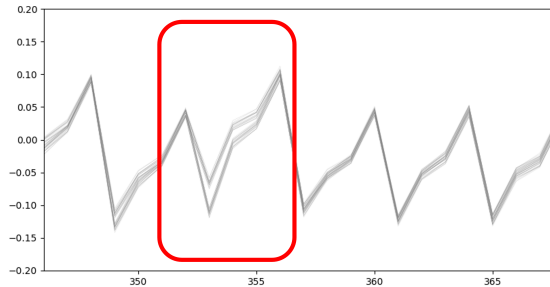
- 1 0 (with probability 0.5)
- 2 z_i
- 3 $-z_i$

→ we should see it in the power traces!

How to gain 0 leakage

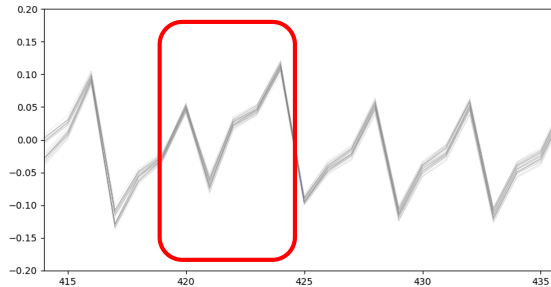


(a) $S_{ij} = 0$.

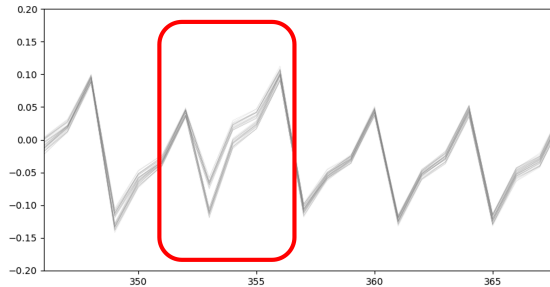


(b) $S_{ij} \neq 0$.

How to gain 0 leakage



(a) $S_{ij} = 0$.



(b) $S_{ij} \neq 0$.

With 1,500 traces, we can recover 98% of the S_{ij} (resp. E_{ij}) equal to zero.

A (simple) countermeasure

\mathbf{x}_0 is used only in the following (non-sensitive) check:

$$\|(\mathbf{x}_0 + \mathbf{e}, \mathbf{x}_1, \mathbf{x}_2)\| > B$$

$\mathbf{x}_0 + \mathbf{e}$ can also be computed as follows:

$$\mathbf{x}_0 + \mathbf{e} = \mathbf{u} - \hat{\mathbf{A}}\mathbf{x}_1 - \mathbf{B}\mathbf{x}_2$$

which totally removes the secret component \mathbf{E} .

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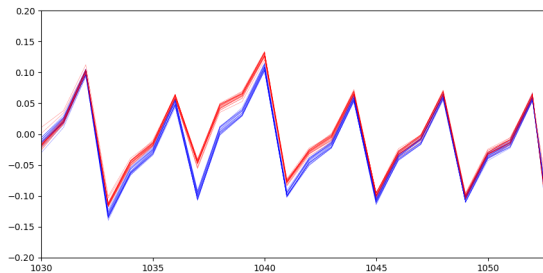
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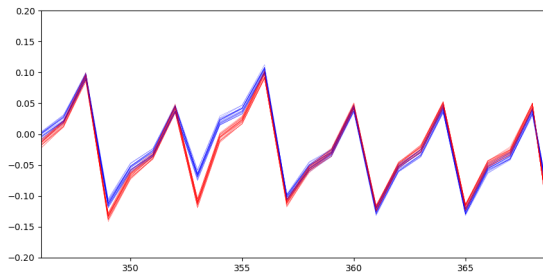
→ let's improve our attack to gain additional information on \mathbf{S} !

How to gain more-than-0 leakage

What if we had (by any chance) the sign of z_i ?



(a) $S_{ij} = -1$.



(b) $S_{ij} = 1$.

Figure: Power traces in red (resp. blue) correspond to $z_i < 0$ (resp. $z_i > 0$).

Leakage in Gaussian sampler

SampleZ(center):

1. $v \leftarrow \text{Rnd}(72)$
2. $c \leftarrow (\text{center} > 8) * (16 - 2 * \text{center}) + \text{center}$
3. $z^+ \leftarrow 0$
4. **for** $i = 0 \dots 26$ **do**
5. $z^+ \leftarrow z^+ + \llbracket v < \text{RCDT}[c][i] \rrbracket$
6. **end**
7. $z \leftarrow \llbracket \text{center} > 8 \rrbracket * (27 - 2 * z^+) + z^+ - 13$
8. **return** z

input: $\text{center} \in [0, 15]$

output: $z \in [-12, 12]$

Consequences on the attack

$z = 0 \implies z^+ \in [13, 14]$ (depending on `center` value)

This implies 13 or 14 incrementations in the for loop.

Previous attacks on other schemes were relying on the fact that

$z = 0 \iff$ no incrementation at all

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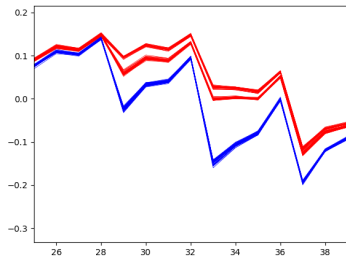
Now we have much more noise!

→ we will not target the for loop

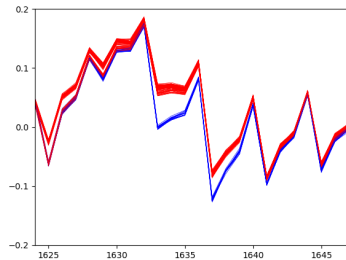
Targetted C code

```
1  int c = center;
2  c = (c > 8) * (16 - 2 * c) + c;           // c computation
3  z = 0;
4  for (u = 0; u < TABLE_LEN; u += 3)
5  {
6      uint32_t w0, w1, w2, cc;
7      w0 = dist0[c][u + 2];
8      w1 = dist0[c][u + 1];
9      w2 = dist0[c][u + 0];
10     cc = (v0 - w0) >> 31;
11     cc = (v1 - w1 - cc) >> 31;
12     cc = (v2 - w2 - cc) >> 31;
13     z += (int)cc;
14 }
15 return (center > 8) * (27 - 2 * z) + z - 13; // z computation
```

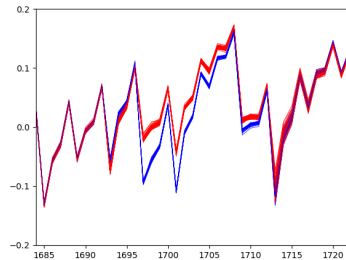
Sign recovery of z



(a) Computation of c .



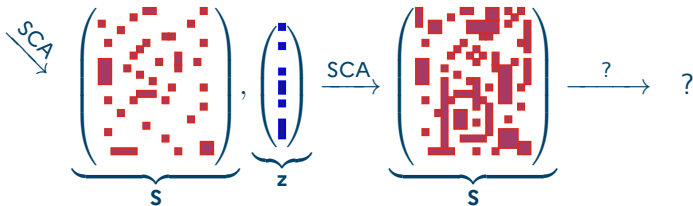
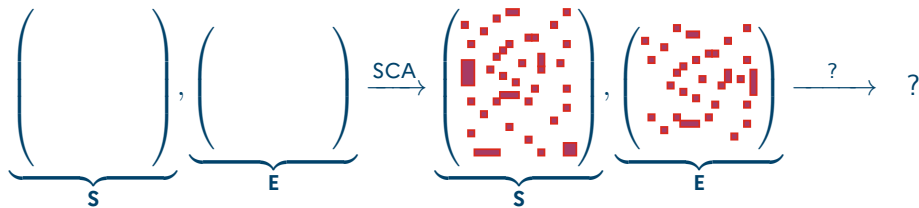
(b) First multiplication.



(c) Final subtraction.

With 1,500 traces, we can recover 75% of the $S_{i,j}$ given prior information on z_i .

Attacks Summary



3. Forgery



First attack when S and E are known

Given an LWE sample $\mathbf{A}s + \mathbf{e}$ and some 0s of \mathbf{s} and \mathbf{e} , how do we exploit them?

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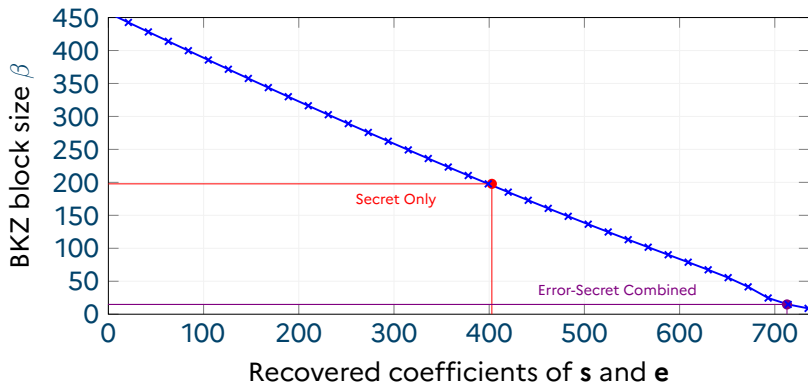
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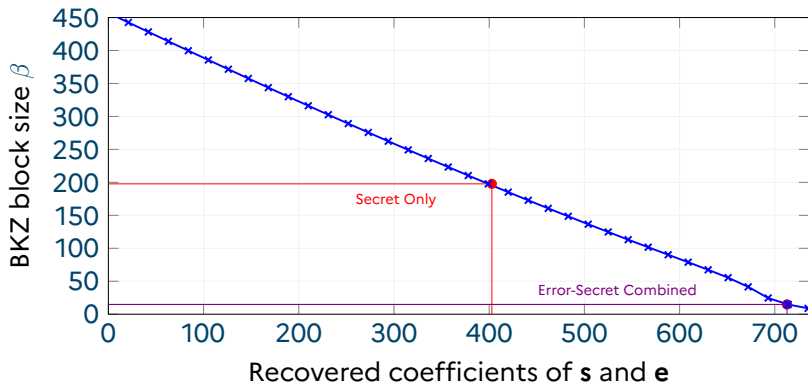
- Remove the i -th column of \mathbf{A} if $s_i = 0$: dimension reduced by one.
- Write $b_i = \langle \mathbf{a}_i, \mathbf{s} \rangle$ if $e_i = 0$. Dimension reduced by one. Some rewriting involved to find a new LWE instance with one less dimension.

What is the cost of BKZ on the new LWE instance once every hint has been incorporated?

Remaining Cost of the Attack



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Conclusion: preventing the leakage on \mathbf{E} is critical.

Forging for specific vectors

Assuming the first k columns \mathbf{S}_k of \mathbf{S} are known via the previous attack, what can we do with them?

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- If the target is $\mathbf{u} = \begin{pmatrix} u_1 \\ 0 \end{pmatrix}$, then we set $\mathbf{p} = \mathbf{0}$, $\mathbf{v} = \lfloor \mathbf{u}/p \rfloor$ and $\mathbf{z} = \mathbf{v}$. A signature would then be:

$$\begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{pmatrix} = \begin{pmatrix} \mathbf{S} \\ \mathbf{I}_m \end{pmatrix} \cdot \mathbf{v} = \begin{pmatrix} \mathbf{S}_k & \mathbf{0} \\ \mathbf{I}_k & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{pmatrix} \cdot \mathbf{v}.$$

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This vector is short, but which message did we sign?

Finding specific vectors

- Choose any μ and compute $\mathbf{u} = H(\mu) = \begin{pmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \end{pmatrix}$.
- Write $\mathbf{A} = \begin{pmatrix} \mathbf{A}_h \\ \mathbf{A}_l \end{pmatrix}$
- Find short \mathbf{x}' such that $\mathbf{A}_l \mathbf{x}' = \mathbf{u}_2$ with lattice reduction
- Set $\mathbf{u}' = \mathbf{u} - \mathbf{A} \mathbf{x}' = \begin{pmatrix} \mathbf{u}'_1 \\ \mathbf{0} \end{pmatrix}$
- We are back to the previous case!

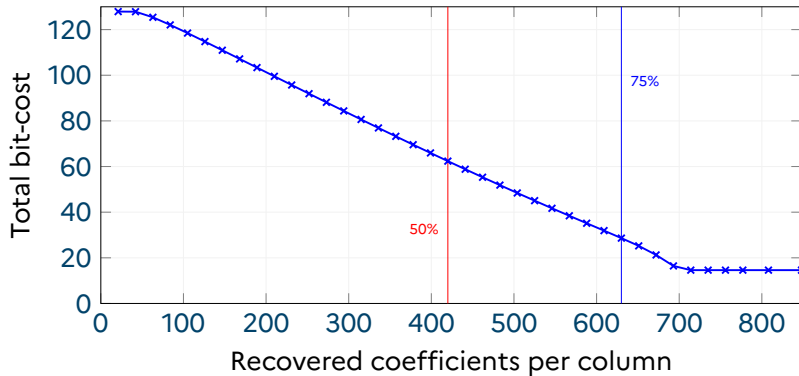
How much costs a forgery?

We start by gathering d coefficients per column.

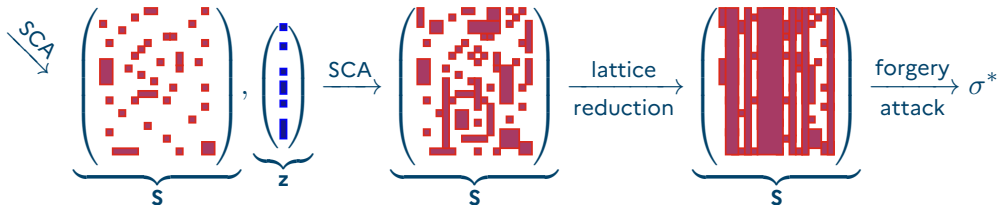
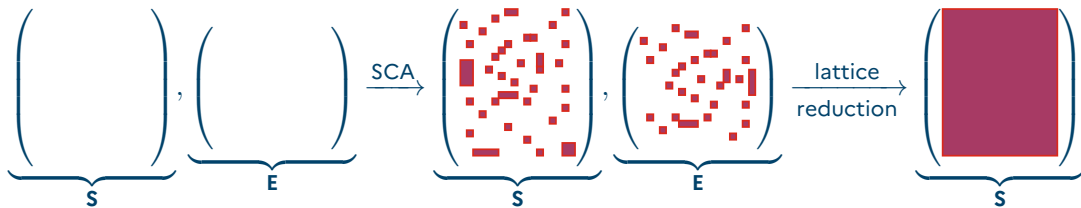
- First step: complete k columns via lattice reduction: k times LWE with dimension reduced by d
- Second step: one more lattice reduction to find \mathbf{x}' : dimension reduced by k but bound B' on $\|\mathbf{x}'\|$ that worsens with k
- Third step: forgery for specific vectors (essentially free)

All that remains is to optimize over k .

Final Cost



Attacks Summary



Conclusion

Our approach is flexible:

- **Other schemes:** our attacks targeted only **SampleZ** and the subsequent multiplication, which is a building block in [MP12] trapdoors.
- **Improved protection:** our lattice reduction analysis allows us to predict attacks with a reduced amount of recovered coefficients

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Thank you for your attention!